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DATE: January 27, 2005

TO: Examiner William D. Coleman  
Group Art Unit: 2823

FAX #: 703-872-9306

PHONE #: 571-272-2353

Application No.: 09/917,438  
Applicant: ZHONG et al.  
Due Date: None


OUR REF.: 3275.28US01

FROM: Peter S. Dardi, Ph.D.  
PHONE #: (612) 349-5746

Attached please find the following document for filing in the above-identified patent application:

- 1) Revocation and New Power of Attorney (2 pages)
- 2) Certificate Under 37 C.F.R. § 3.73(b) (2 pages)

Sincerely,

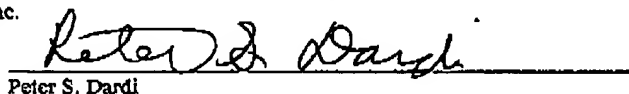


Peter S. Dardi, Ph.D.  
Reg. No. 39,650

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703-872-9306 on the date shown below thereby constituting filing of same.

January 27, 2005  
Date

  
Peter S. Dardi

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JAN 27 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

New Attorney Docket No.: 3275.28US01

Old Attorney Docket No.: LWM-A102

ZHONG et al.

Confirmation No. 9712

Application No.: 09/917,438

Examiner: William D. Coleman

Filed: July 27, 2001

Group Art Unit: 2874

For: GEBPSG TOP CLAD FOR A PLANAR LIGHTWAVE CIRCUIT

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REVOCATION AND NEW POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby revoke all previous powers of attorney granted in this case. Applicants hereby appoint the following attorneys and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

James H. Patterson (30,673), John F. Thuent (29,595), Wm. Larry Alexander (37,269), Kimberly K. Baxter (40,504), Eric H. Chadwick (41,664), Randall T. Skaar (42,151), Douglas J. Christensen (35,480), Curtis B. Herbert (45,443), Michael A. Bondi (39,616), Paul C. Onderick (45,354), Brad D. Pedersen (32,432), Scott G. Ulbrich (48,005), Peter S. Dardi (39,650), Jose W. Jimenez (31,113), Matthew T. Macari (50,291), Thomas G. Dickson (51,616), Bradley J. Thorson (52,288), J. Paul Haun (53,003), Wendy J. Cusick (52,788), Irene B. Eckert (52,848), Brian L. Jarrells (53,067), Tye Biasco (53,989) and Kam W. Law (44,205).

Application No. 09/917,438

Address all telephone calls to: Peter S. Dardi at 404-949-5730.

Address all correspondence to: Customer No. 24113, Peter S. Dardi, Ph.D., Patterson, Thuente, Skaar & Christensen, P.A., 4800 IDS Center, 80 South 8th Street, Minneapolis, Minnesota 55402-2100. Please reference Attorney Docket No. 3275.28US01 on all correspondence. Additionally, please charge any future fees to Deposit Account No. 16-0631.

All previous powers of attorney granted in this case are hereby revoked.

Lighwave Microsystems Corporation

Date:

1/11/2005

Name:

Timothy S. Jenks

Title:

President and CEONeoPhotonics Corporation

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703-872-9310 on the date shown below thereby constituting filing of same.

Date:

January 27, 2005

Peter S. Dardi

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3275.28US01

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For: GEBPSG TOP CLAD FOR A PLANAR LIGHTWAVE CIRCUIT

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Lightwave Microsystems Corporation, a corporation, states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012666, Frame 0766, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From \_\_\_\_\_ to \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

Application No. 09/917,438

2. From \_\_\_\_\_ to \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

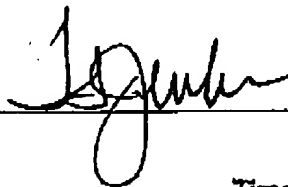
☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this  
statement on behalf of the assignee.

Date:

1/11/2005

Signature



Name Printed or Typed

Timothy S. Jenks  
President and CEO  
NeoPhotonics Corporation

Title